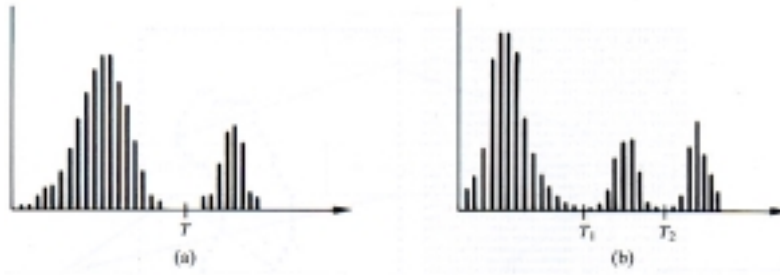


### 7.3 Thresholding

- the most important approaches to image segmentation

#### 7.3.1 Foundation



(a)

- light objects on a dark background
- grouped into two dominant modes
- select threshold  $T$  that separates these modes
- object point :  $f(x, y) > T$
- background point : otherwise

(b)

- three dominant mode
- ex. 2 types of light objects
- a dark background
- $T_1 < f(x, y) \leq T_2$  } Two object
- $f(x, y) > T_2$  }
- $f(x, y) \leq T_1$  } background
- multilevel thresholding
- : generally less reliable than single thresholding
- : difficulty of establishing multiple thresholdings that effectively isolate regions

- thresholding operation

$$T = T[x, y, p(x, y), f(x, y)]$$

where  $f(x, y)$  : gray level of point  $(x, y)$

$p(x, y)$  : some local property of this point

ex.) average gray level of neighborhood centered on  $(x, y)$

- thresholded image

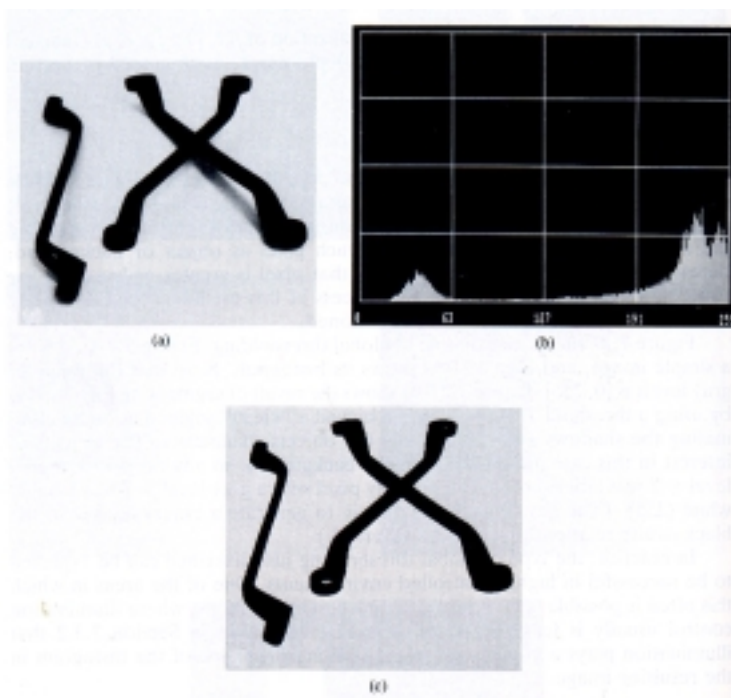
$$g(x,y) = \begin{cases} 1 & \text{if } f(x,y) > T \quad : \text{object} \\ 0 & \text{if } f(x,y) \leq T \quad : \text{background} \end{cases}$$

if  $T$  : depend only on  $f(x,y) \rightarrow$  global

$T$  : depend on both  $f(x,y)$  and  $p(x,y) \rightarrow$  local

$T$  : depend on the spatial coordi. x angle  $\rightarrow$  dynamic

### 7.3.3 Simple Global Thresholding



- threshold  $T=70$
- eliminating shadow
- any pixels with gray level  $\leq T \rightarrow$  black (0)
- any pixels with gray level  $> T \rightarrow$  white (255)
- $\rightarrow$  binary image (c)
- this method : successful in highly controlled environment  
ex.) industrial inspection applications  
    where illumination control : usually feasible
- ( $\ominus$  illumination : a crucial role in establishing the shape of the histogram in resulting image)

### 7.3.4 Optimal Thresholding

- only two principal brightness regions
  - histogram : estimate of brightness probability density function  $p(z)$
- ✓ sum or mixture of two unimodal densities : one for the light and one for the dark regions
- ✓ mixture parameter : proportional to the area of picture of each brightness
  - if form of densities : known → segmentation : possible

- mixture probability density function

$$p(z) = P_1 p_1(z) + P_2 p_2(z)$$

for the Gaussian case

$$p(z) = \frac{P_1}{\sqrt{2\pi}\sigma_1} \exp\left[-\frac{(z-\mu_1)^2}{2\sigma_1^2}\right] + \frac{P_2}{\sqrt{2\pi}\sigma_2} \exp\left[-\frac{(z-\mu_2)^2}{2\sigma_2^2}\right]$$

where  $\mu_1, \mu_2$  : mean

- ✓ constraint  $P_1 + P_2 = 1$
- ✓ five unknown parameters
  - if all parameters : known
  - optimal threshold : easily determined

- suppose

- dark region : background
- bright region : object
- $\mu_1 < \mu_2$  threshold  $T$
- gray level  $\leq T$  → background points
- gray level  $> T$  → object points
- error prob. (object → background points)

$$E_1(T) = \int_{-\infty}^T p_2(z) dz$$

background → object points error prob.

$$E_2(T) = \int_T^{\infty} p_1(z) dz$$

- overall prob. of error

$$E(T) = P_2 E_1(T) + P_1 E_2(T)$$

- min. error

- ✓ differentiating with  $T$  and equaling result to 0

$$P_1 p_1(T) = P_2 p_2(T)$$

$$\rightarrow AT^2 + BT + C = 0$$

$$A = \sigma_1^2 - \sigma_2^2$$

$$B = 2(\mu_1\sigma_2^2 - \mu_2\sigma_1^2)$$

$$C = \sigma_1^2\mu_2^2 + \sigma_2^2\mu_1^2 + 2\sigma_1^2\sigma_2^2 \ln(\sigma_2P_1 / \sigma_1P_2)$$

- if variances : equal,  $\sigma_1^2 = \sigma_2^2 = \sigma^2$

→ single threshold

$$T = \frac{\mu_1 + \mu_2}{2} + \frac{\sigma^2}{\mu_1 - \mu_2} \ln\left(\frac{P_2}{P_1}\right)$$

- if prior probs. Are equal,  $P_1 = P_2 = P$

→ single threshold

$$T = (\mu_1 + \mu_2) / 2$$

### 7.3.5 Threshold Selection Based on Boundary Characteristics

- selection of good threshold
  - histogram peaks : tall, narrow, symmetric, separated by deep valleys
  - consider only those pixels that lie on or near the boundary between objects and background
    - improve the symmetry of the histogram peaks
  - using pixels that satisfy some simple measures based on gradient and Laplacian operators
    - tendency to deepen the valley between histogram peaks
  - valleys of histogram formed from the pixels selected by a gradient/Laplacian criterion : sparsely populated
    - highly desirable deep valleys

- gradient  $\nabla f$  (7.1-4) (7.1-5)

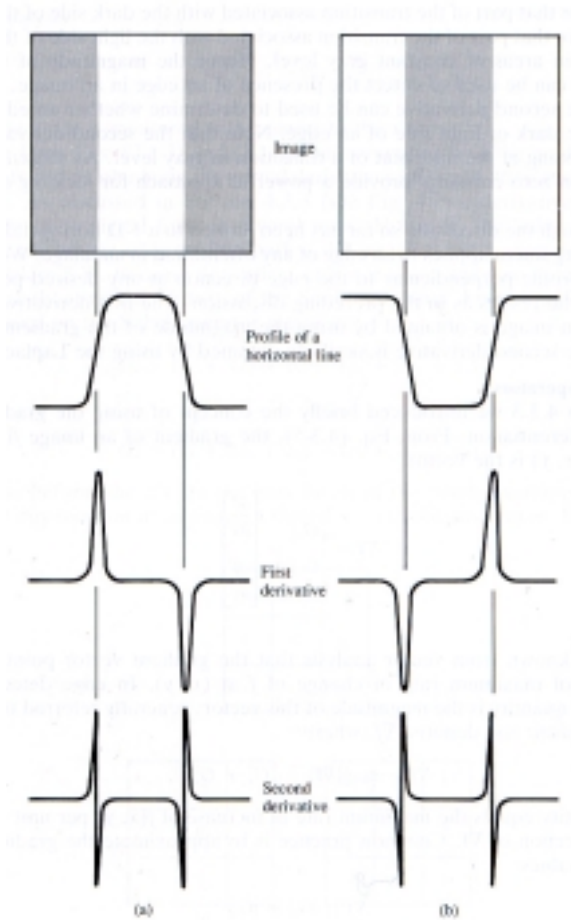
Laplacian  $\nabla^2 f$  (7.1-10)

→ three level image

$$s(x, y) = \begin{cases} 0 & \text{if } \nabla f < T & \text{: homogeneous region} \\ + & \text{if } \nabla f \geq T \text{ and } \nabla^2 f \geq 0 & \rightarrow \text{dark} \\ - & \text{if } \nabla f \geq T \text{ and } \nabla^2 f < 0 & \rightarrow \text{bright} \end{cases}$$

: three distinct gray levels

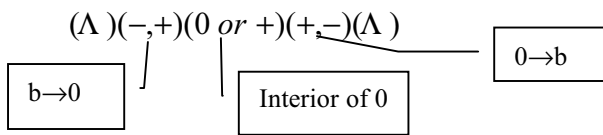
+, - : reversed for a light object on a dark background



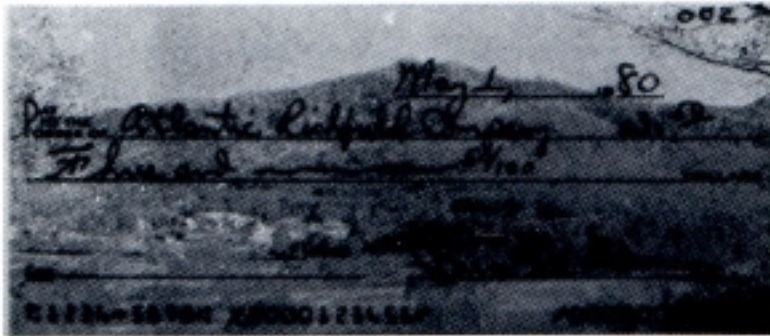
- For example, object  $\rightarrow$  1 background  $\rightarrow$  0 transition
  - from light background to dark object  $\rightarrow$  ( -, +)
  - from object to background  $\rightarrow$  ( +, -)
  - interior of object  $\rightarrow$  0 or +



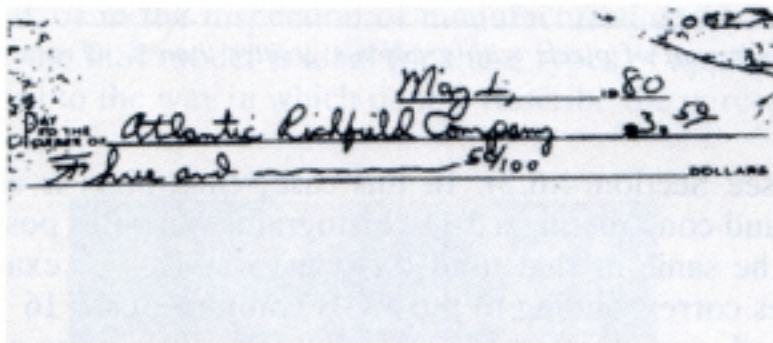
- horizontal or vertical scan line containing a section of an object



ex.)

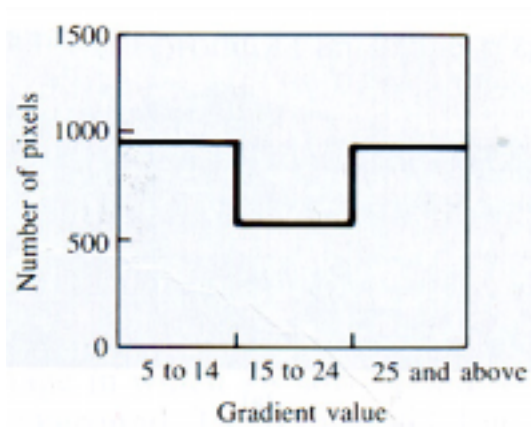


(a)



(b)

- histogram : two dominant modes which are symmetric, same height and are separated by a distinct valley
- T : midpoint of valley



- histogram as a function of gradient value for pixels with gradients greater than 5

### 7.3.6 Thresholds based on Several Variables

- so far, thresholding a single intensity variable
- more than one variable
  - characterize each pixel in an image
  - ex.) color image (RGB components)
    - ✓ 3-D histogram
    - ✓ processing : the same as that used for 1-D processing (one variable)
    - ✓
  - ex.) for three 16-level color image (RGB)
    - $16 \times 16 \times 16$  grid (cube) : formed
    - the no. of pixels : inserted in each cell : 3-D histogram
    - division → normalized histogram
    - ✓ thresholding : find clusters of points in 3-D space
    - ✓ one cluster  $\xrightarrow{\text{assign}}$  one intensity
    - other cluster  $\xrightarrow{\text{assign}}$  other intensity
    - ✓ seeking cluster : increasingly complex task as the no. of variable increase

ex.)



- HIS model
  - hue, saturation → color components
  - automated inspection
    - ex.) inspection of fruits in ripeness
    - inspection of manufactured goods
  - closely related to human perception of color